

# **MAINVIEW<sup>®</sup> SRM DMS2HSM User Guide and Reference**

**Version 7.1**

**July 15, 2002**



Copyright 1997–2002 BMC Software, Inc., as an unpublished work. All rights reserved.

BMC Software, the BMC Software logos, and all other BMC Software product or service names are registered trademarks or trademarks of BMC Software, Inc. IBM and DB2 are registered trademarks of International Business Machines Corp. All other registered trademarks or trademarks belong to their respective companies.

THE USE AND CONTENTS OF THIS DOCUMENTATION ARE GOVERNED BY THE SOFTWARE LICENSE AGREEMENT ENCLOSED AT THE BACK OF THIS DOCUMENTATION.

## Restricted Rights Legend

U.S. GOVERNMENT RESTRICTED RIGHTS. UNPUBLISHED -- RIGHTS RESERVED UNDER THE COPYRIGHT LAWS OF THE UNITED STATES. Use, duplication, or disclosure by the U.S. Government is subject to restrictions set forth in FAR Section 52.227-14 Alt. III (g)(3), FAR Section 52.227-19, DFARS 252.227-7014 (b) or DFARS 227.7202, as amended from time to time. Contractor/Manufacturer is BMC Software, Inc., 2101 CityWest Blvd., Houston, TX 77042-2827, USA. Any contract notices should be sent to this address.

---

## Contacting BMC Software

You can access the BMC Software Web site at <http://www.bmc.com>. From this Web site, you can obtain information about the company, its products, corporate offices, special events, and career opportunities.

### United States and Canada

**Address** BMC Software, Inc.  
2101 CityWest Blvd.  
Houston TX 77042-2827

**Telephone** 713 918 8800 or  
800 841 2031

**Fax** 713 918 8000

### Outside United States and Canada

**Telephone** (01) 713 918 8800

**Fax** (01) 713 918 8000

---

---

## Customer Support

You can obtain technical support by using the Support page on the BMC Software Web site or by contacting Customer Support by telephone or e-mail. To expedite your inquiry, please see “Before Contacting BMC Software.”

### Support Web Site

You can obtain technical support from BMC Software 24 hours a day, 7 days a week at <http://www.bmc.com/support.html>. From this Web site, you can

- read overviews about support services and programs that BMC Software offers
- find the most current information about BMC Software products
- search a database for problems similar to yours and possible solutions
- order or download product documentation
- report a problem or ask a question
- subscribe to receive e-mail notices when new product versions are released
- find worldwide BMC Software support center locations and contact information, including e-mail addresses, fax numbers, and telephone numbers

### Support by Telephone or E-mail

In the United States and Canada, if you need technical support and do not have access to the Web, call 800 537 1813. Outside the United States and Canada, please contact your local support center for assistance. To find telephone and e-mail contact information for the BMC Software support center that services your location, refer to the Contact Customer Support section of the Support page on the BMC Software Web site at [www.bmc.com/support.html](http://www.bmc.com/support.html).

### Before Contacting BMC Software

Before you contact BMC Software, have the following information available so that Customer Support can begin working on your problem immediately:

- product information
  - product name
  - product version (release number)
  - license number and password (trial or permanent)
- operating system and environment information
  - machine type
  - operating system type, version, and service pack or other maintenance level such as PUT or PTF
  - system hardware configuration
  - serial numbers
  - related software (database, application, and communication) including type, version, and service pack or maintenance level
- sequence of events leading to the problem
- commands and options that you used
- messages received (and the time and date that you received them)
  - product error messages
  - messages from the operating system, such as `file system full`
  - messages from related software

---

---

# Contents

<b>About This Book</b> .....	<b>xi</b>
<b>Chapter 1</b>	<b>DMS2HSM Overview</b>
<b>Chapter 2</b>	<b>The DMS2HSM Process</b>
	Identify Data Sets/Tapes to Be Converted ..... 2-1
	Perform the Data Set Restores ..... 2-1
	Control the Placement of Restored Data Sets..... 2-2
	Control of the Restore Pool Volumes ..... 2-2
	Control of HSM Migration ..... 2-2
	Clean Up the Tape Library ..... 2-2
<b>Chapter 3</b>	<b>The DMS2HSM Conversion Job</b>
	Sample JCL..... 3-1
	DD Statements ..... 3-3
	Control Statements ..... 3-3
	Running the Conversion Job..... 3-5
<b>Chapter 4</b>	<b>Configuration for DMS2HSM</b>
	DMS2HSM Parameters ..... 4-2
	EasyPOOL Parameters ..... 4-3
	EasyHSM Parameters ..... 4-4
	SG-Auto Parameters ..... 4-5
<b>Chapter 5</b>	<b>DMS2HSM Sample Reports</b>
<b>Chapter 6</b>	<b>Important Considerations</b>
	DMS2HSM Considerations ..... 6-1
	DMS and LISTD Considerations ..... 6-3
	HSM Considerations..... 6-4
<b>Appendix A</b>	<b>Conversion Approximations</b>
<b>Index</b>	

---

---

# List of Figures

Figure 1-1	DMS2HSM Process Flow .....	1-2
Figure 3-1	Sample Conversion Job JCL .....	3-2
Figure 4-1	MONITOR Matrix Example (SMAMTM <sub>xx</sub> ) .....	4-5
Figure 4-2	Automation Matrix (SMSMTA <sub>xx</sub> ) .....	4-6
Figure 4-3	Automation Command .....	4-6
Figure 5-1	Sample Errors Listing .....	5-1
Figure 5-2	Sample Tape Pull List and Statistics Summary .....	5-2

---



---

# List of Tables

Table 3-1	Descriptions of DD Statements . . . . .	3-3
Table 3-2	Format of the Tape Range Control Statement . . . . .	3-3
Table 3-3	Format of the Model JCL Statements . . . . .	3-4
Table 6-1	DMS Sysparms for Restores . . . . .	6-4



---

# About This Book

This book contains detailed information about MAINVIEW® Storage Resource Manager DMS2HSM by BMC Software and is intended for individuals involved in planning and implementing the conversion of CA-Disk, formerly DMS, to HSM.

To use this book, you should be familiar with the following items:

- OS/390 operating system
- job control language (JCL)
- Interactive System Productivity Facility (ISPF)

## How This Book Is Organized

This book is organized as follows. In addition, an index appear and glossary at the end of the book.

Chapter/Appendix	Description
Chapter 1, "DMS2HSM Overview"	provides an overview of the DMS2HSM product
Chapter 2, "The DMS2HSM Process"	describes the process of converting DMS to HSM
Chapter 3, "The DMS2HSM Conversion Job"	provides a sample JCL and descriptions of DD and control statements
Chapter 4, "Configuration for DMS2HSM"	provides configuration information for DMS2HSM
Chapter 5, "DMS2HSM Sample Reports"	provides sample reports
Chapter 6, "Important Considerations"	provides information pertaining to the conversion job, DMS and the LSTD utility, and HSM
Appendix A, "Conversion Approximations"	provides approximate numbers, sizes, and timings used in a typical conversion

---

## Related Documentation

BMC Software products are supported by several types of documentation:

- online and printed books
- online Help
- online Messages
- release notes and other notices

In addition to this book and the online Help, you can find useful information in the publications listed in the following table. These publications are available on request from BMC Software.

Category	Document	Description
MAINVIEW common documents	<i>OS/390 and z/OS Installer Guide</i> <i>MAINVIEW Installation Requirements Guide</i> <i>MAINVIEW Common Customization Guide</i> <i>Using MAINVIEW</i> <i>MAINVIEW Administration Guide</i> <i>Implementing Security for MAINVIEW</i>	provide instructions for installing, configuring, using, and administering MAINVIEW
MAINVIEW SRM customization documents	<i>MAINVIEW SRM Customization Guide</i>	provides instructions for configuring and customizing MAINVIEW SRM for OS/390 including DMS2HSM
core documents	<i>MAINVIEW SRM User Guide and Reference</i>	provides information common to all MAINVIEW SRM products and high-level navigation
	<i>MAINVIEW SRM Reference Summary</i>	provides a reference of global parameters, filter list and rule list parameters, and functions
	<i>MAINVIEW SRM Messages</i>	provides hardcopy of error message descriptions
supplemental documents	release notes, flashes, technical bulletins	provides additional information about the product

## Online and Printed Books

The books that accompany BMC Software products are available in online format and printed format. If you are a Windows or Unix user, you can view online books with Acrobat Reader from Adobe Systems. The reader is provided at no cost, as explained in “To Access Online Books.” You can also obtain additional printed books from BMC Software, as explained in “To Request Additional Printed Books.”

---

## To Access Online Books

Online books are formatted as Portable Document Format (PDF) files. You can view them, print them, or copy them to your computer by using Acrobat Reader 3.0 or later. You can access online books from the documentation compact disc (CD) that accompanies your product or from the World Wide Web.

In some cases, installation of Acrobat Reader and downloading the online books is an optional part of the product-installation process. For information about downloading the free reader from the Web, go to the Adobe Systems site at <http://www.adobe.com>.

To view any online book that BMC Software offers, visit the support page of the BMC Software Web site at <http://www.bmc.com/support.html>. Select a product to access the related documentation.

## To Request Additional Printed Books

BMC Software provides printed books with your product order. To request additional books, go to <http://www.bmc.com/support.html>.

## Release Notes and Other Notices

Printed release notes accompany each BMC Software product. Release notes provide current information such as

- updates to the installation instructions
- last-minute product information

In addition, BMC Software sometimes provides updated product information between releases (in the form of a flash or a technical bulletin, for example). The latest versions of the release notes and other notices are available on the Web at <http://www.bmc.com/support.html>.

## Conventions

This section provides examples of the conventions used in this book and explains how to read ISPF panel-flow diagrams and syntax statements.

---

## General Conventions

This book uses the following general conventions:

Item	Example
information that you are instructed to type	Type <b>SEARCH DB</b> in the designated field.
specific (standard) keyboard key names	Press <b>Enter</b> .
field names, text on a panel	Type <b>the appropriate entry</b> in the <b>COMMAND</b> field.
directories, file names, Web addresses	The BMC Software home page is at <b>www.bmc.com</b> .
nonspecific key names, option names	Use the HELP function key.  KEEPDICTIONARY option
calls, commands, control statements, keywords, parameters, reserved words	Use the SEARCH command to find a particular object.  The product generates the SQL TABLE statement next.
code examples, syntax statements, system messages, screen text	//STEPLIB DD  The table <i>table_name</i> is not available.
emphasized words, new terms, variables	The instructions that you give to the software are called <i>commands</i> .  In this message, the variable <i>file_name</i> represents the file that caused the error.
single-step procedures	»» To enable incremental backups, type <b>y</b> and press <b>Enter</b> at the next prompt.

This book uses the following types of special text:

**Note:** Notes contain important information that you should consider.

**Warning!** Warnings alert you to situations that could cause problems, such as loss of data, if you do not follow instructions carefully.

**Tip:** Tips contain useful information that may improve product performance or that may make procedures easier to follow.

---

## Syntax Statements

Syntax statements appear in Courier. The following example shows a sample syntax statement:

```
COMMAND KEYWORD1 [KEYWORD2|KEYWORD3] KEYWORD4={YES|NO}  
    file_name...
```

The following table explains conventions for syntax statements and provides examples:

Item	Example
Items in italic type represent variables that you must replace with a name or value. Use an underscore for variables with more than one word.	<code>dtbackup <i>control_directory</i></code>
Brackets indicate a group of options. You can choose at least one of the items in the group, but none of them is required. Do not type the brackets when you enter the option. A comma means that you can choose one or more of the listed options. You must use a comma to separate the options if you choose more than one option.	<code>[<i>table_name, column_name, field</i>]</code>
Braces enclose a list of required items. You must enter at least one of the items. Do not type the braces when you enter the item.	<code>{<i>DBD_name   table_name</i>}</code>
A vertical bar means that you can choose only one of the listed items. In the example, you would choose either <i>commit</i> or <i>cancel</i> .	<code>{commit   cancel}</code>
An ellipsis indicates that you can repeat the previous item or items as many times as necessary.	<code><i>column_name . . .</i></code>

---



---

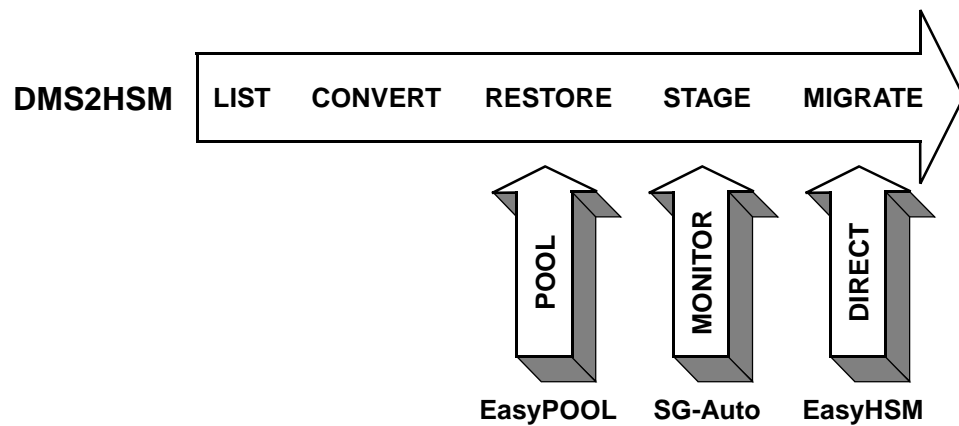
# Chapter 1 DMS2HSM Overview

DMS2HSM is a product that automates the conversion of DMS archived data sets to HSM. The DMS archived data sets are restored to a pool of volumes specifically allocated for the conversion process. The migration to HSM of the restored data sets is automated by monitoring the volumes' free-space and forcing the HSM migration to second-level storage when utilization thresholds are reached.

DMS2HSM works in conjunction with three other products from BMC Software: EasyPOOL, which forces the pooling of the restored data sets to specific staging volumes; SG-Auto, which monitors the staging volumes for free space and issues an operator command to start volume-level migration; and EasyHSM, which is used to force HSM to migrate the conversion data sets to Migration Level 2.

DMS2HSM creates the DMS Restore jobs, an exception report of data sets that were bypassed, a tape pull list and a statistics summary of the conversion process. The reports can be used to determine the number of tapes to be processed, the number of data sets, and the amount of storage the converted data sets will utilize. An option of the conversion program will produce only the tape pull list and statistics report. Figure 1-1 represents the process flow:

Figure 1-1 DMS2HSM Process Flow



**Tip:** A demonstration of this product can be executed without a license. The data generated for this demonstration is valid and useful but may only represent about 2% of your data sets.

Contact your BMC Software representative to discuss how BMC Professional Services can facilitate the conversion of your DMS data to HSM.

**Important Notes:**

In this book, the product referred to as DMS was marketed as DMS/OS and SAMS:Disk by Sterling Software and is currently marketed as CA-Disk by Computer Associates.

In this book, the product referred to as HSM is marketed as DFSMSHsm by IBM Corp.

The DMS2HSM program can be run with minimal setup. See Chapter 3, “The DMS2HSM Conversion Job.”

This book should be read and understood in its entirety to ensure a successful conversion.

---

## Chapter 2 The DMS2HSM Process

A conversion of data sets from DMS to HSM is a unique process that requires user research, monitoring, and control. DMS2HSM facilitates the conversion by automating the various functions required. A description of the DMS2HSM processes follows:

### Identify Data Sets/Tapes to Be Converted

The DMS utility LISTD is run to identify data sets in the FILES data set to be processed by the conversion program.

The conversion program extracts data from the LISTD report file so that the scope of the conversion project can be assessed. The statistics generated include the number of tapes and a tape pull list, the number of data sets, and the amount of data to be converted.

### Perform the Data Set Restores

The conversion program builds a stream of DMS Restore jobs that are stored in a data set. These jobs are created in volser sequence of the DMS archive tape to be read and contain the command input to restore all of the eligible data sets from that one tape. The tape will be mounted and processed only once and the data sets restored in file sequence order.

This process minimizes operational concerns, such as the number of tape mounts required to process the archive tapes, eases job set up and processing requirements, and requires minimal restart/recovery procedures.

## Control the Placement of Restored Data Sets

A pool of volumes is allocated to the Restore process. EasyPOOL directs the allocation of the restored data sets to this staging pool, based on the Restore job name.

## Control of the Restore Pool Volumes

The free space on the pool volumes is monitored by SG-Auto. When the free space threshold on a particular volume is exceeded, SG-Auto issues a MIGRATE command.

SG-Auto, coupled with EasyHSM, ensures that the staging pool will be self-cleaning and require no manual monitoring for pool-full conditions.

## Control of HSM Migration

EasyHSM controls the migration of the data sets from the staging pool volumes to Migration Level 2 (ML2), bypassing Migration Level 1 (ML1). All other migration is under the control of HSM and is excluded from EasyHSM.

## Clean Up the Tape Library

Once a DMS tape has been processed by DMS2HSM, it may be eligible for DMS Merge processing. The DMS Merge consolidates data from the partially used tapes onto new tapes. When an archive tape is emptied, DMS returns it to the tape management system for reuse.

---

## Chapter 3    The DMS2HSM Conversion Job

This chapter describes

- JCL used to execute the DMS2HSM conversion program
- DD statements
- two types of control statements
- customization that enables DMS2HSM to run

### Sample JCL

The sample JCL to execute the conversion can be found in member D2HJCL01 in the *?prefix.BBSAMP* library. Modify the JCL to fit your installation standards and read, “Running the Conversion Job” on page 3-5.

**Figure 3-1 Sample Conversion Job JCL**


---

```
//D2H2HSM1 JOB (3500),'DMS2HSM CONVERSION',CLASS=A,
//          REGION=1024K,MSGCLASS=X,NOTIFY=&SYSUID
//D2HSTEP  EXEC PGM=SMCV9200
//STEPLIB  DD DSN= sv.bbblink,DISP=SHR
//*
//SYSUT1   DD DSN=hlq.DMS.LISTD,DISP=SHR          <== INPUT FROM LISTD
//*-----
//* - CONTROL CARD FORMAT - *
//*-----
//*      CC 1 - BEGINNING TAPE VOLSER
//*      CC 7 - ', '
//*      CC 8 - ENDING TAPE VOLSER
//*      CC14 - Y - FOR DETAIL REPORT
//*      CC15 - Y - FOR SORTED OUTPUT
//*      CC16 - Y - FOR EXCEPTIONS LISTING
//*      CC17 - Y - FOR CATALOG LOOKUP PROCESSING
//*      CC18 - Y - FOR REPORT ONLY
//*      CC19 - Y - FOR CATALOG DIAGNOSTIC MESSAGE
//*4567890123456789
//CNTL     DD *
//          ,          NNNYNN
RJD2H####  JOB (3500,3500),'user name #####',          <== CUSTOMIZE JCL
RJ          CLASS=A,MSGCLASS=X,                          <== CUSTOMIZE JCL
RJ          REGION=4M,NOTIFY=&SYSUID                      <== CUSTOMIZE JCL
RJREST     EXEC RESTORE                                  <== CUSTOMIZE JCL
//*
//RESTORE  DD DSN=hlq.D2H.RESTORE,                        <== RESTORE JOBS OUTPUT
//          DISP=( ,CATLG,DELETE) ,
//          UNIT=SYSALLDA ,
//          SPACE=(CYL,(300,25),RLSE) ,
//          DCB=(BLKSIZE=0,LRECL=80,RECFM=FB)
//ALTER    DD DUMMY                                       <== OUTPUT NO LONGER NEEDED
//SYSOUT    DD SYSOUT=*                                  <== SORT MESSAGES
//SYSPRINT  DD SYSOUT=*                                  <== DETAIL, EXCEPTIONS, TAPE PULL
//SYSUDUMP  DD SYSOUT=*
//SORTWK01 DD UNIT=SYSALLDA,SPACE=(CYL,(10,10))
//SORTWK02 DD UNIT=SYSALLDA,SPACE=(CYL,(10,10))
//SORTWK03 DD UNIT=SYSALLDA,SPACE=(CYL,(10,10))
//SORTWK04 DD UNIT=SYSALLDA,SPACE=(CYL,(10,10))
//SORTWK05 DD UNIT=SYSALLDA,SPACE=(CYL,(10,10))
//SORTIN   DD UNIT=SYSALLDA,SPACE=(CYL,(200,25)),DSN=&&I,DISP=( ,PASS)
//SORTOUT  DD UNIT=SYSALLDA,SPACE=(CYL,(200,25)),DSN=&&O,DISP=( ,PASS)
```

---

## DD Statements

DD statements used in DMS2HSM are described in Table 3-1.

**Table 3-1 Descriptions of DD Statements**

Statement	Usage
STEPLIB	the library containing the conversion programs, which must be APF authorized
SYSUT1	the input data set containing the report file created by the DMS LISTD utility
CNTL	the control statements for the conversion program
RESTORE	defines a sequential output data set to hold the DMS Restore jobs No data is written to this DD when the Report Only option (CC18 set to Y) is used.
SYSPRINT	the output for the Detail, Sorted, Exception, Tape Pull, Statistics and Diagnostics reports
SYSOUT	the output for sort messages
ALTER	this output is no longer needed, but the DD should remain dummied

## Control Statements

There are two types of control statements specified under the CNTL DD:

- Tape Range Statement (must be the first control statement) (Table 3-2)
- Model JCL Statements used to create the Restore jobs (Table 3-3)

**Table 3-2 Format of the Tape Range Control Statement**

Column	Contents
1 - 6	Beginning Tape Number to convert (DMS archive tape)
7	“, “[comma]
8-13	Ending Tape Number <b>Note:</b> If the beginning and ending tape number fields are blank, all tapes and associated data sets in the input file (LISTD report) will be processed
14	Detail Report (Y for Yes, N for No) The detail report option will list every data set in the input file, including backup copies. This option could produce a large amount of output.

**Table 3-2 Format of the Tape Range Control Statement**

Column	Contents
15	Sorted Output (Y for Yes, N for No) The sorted output option will list the processed data sets with the respective tape in the tape pull report. This option is used for diagnostics and should only be turned on when requested by support. This option could produce a large amount of output.
16	Exception Report (Y for Yes, N for No) The exception report option lists data sets that are not processed by the conversion program. (Backup copies are not exceptions and not listed.) This option set to Y is valid only with the catalog search option is Y.
17	Catalog Search (Y for Yes, N for No) The catalog search option causes the conversion program to perform a catalog search on each archived data set in the input file. This option set to Y is highly recommended. See important information in "DMS2HSM Considerations" on page 6-1.
18	Report Only (Y for Yes, N for No) The report-only option will produce a tape pull list and statistics summary report of the conversion process. This option can be used without MAINVIEW SRM active.
19	Diagnostics Report (Y for Yes, N for No) The diagnostics report option will list data sets that are cataloged and the volume where cataloged. Uncataloged data sets are not reported. This option is used for diagnostics and should only be turned on when requested by support. This option is valid only with the catalog search option is Y. This option could produce a large amount of output.

**Table 3-3 Format of the Model JCL Statements**

Column	Contents
1 - 2	<b>RJ</b> - Model JCL for the restore jobs that will be created Specify from 2 to 5 statements.
3 - 72	Free format, valid S/390 JCL without the '/'

The model JCL statements are used to create the DMS Restore jobs. There can be from 2 to 5 **RJ** statements coded. (Refer to Figure 3-1, the CNTL DD.) In the model JCL, the first 4 characters of the jobname are user-defined. As the restore jobs are created, the conversion program replaces the 4 *number signs* in the jobname with a number that increments as each job is built. This allows multiple restore jobs to be run simultaneously when they are submitted for execution.

The 6 *number signs* in the user field of the JOB card are replaced by the tape volser that will be used in that Restore job.



**Tip:** Specify a job class in the model restore JCL that is not normally used so that the flow of the restore jobs can be controlled by the number of initiators set to that class.

## Running the Conversion Job

After MAINVIEW SRM is installed, use the following steps to enable DMS2HSM to be run. See “DMS2HSM Considerations” on page 6-1 for suggestions to perform a complete conversion.

- Step 1** Customize the JCL in the library *?prefix.BBSAMP*, member D2HJCL01.
- Step 2** Add the DMS2HSM product password to SMMSYSxx.
- Step 3** Add the DMS2HSM definition in the SMFUNCxx member (see Chapter 4, “Configuration for DMS2HSM”).
- Step 4** Create the DMS2HSM SMFLSTxx member (see Chapter 4, “Configuration for DMS2HSM”).

**Note:** The EasyPOOL, EasyHSM and SG-Auto configuration can be done later but should be done before the execution of the Restore jobs.

- Step 5** Run the DMS utility LISTD directing the SYSPRINT to a data set (LRECL=133,RECFM=FBA,BLKSIZE=0).

**Tip:** For the first *practice* executions of DMS2HSM, run the LISTD command with the DSN= parameter so that a small amount of data (a few thousand lines) is generated.

**Note:** Refer to “DMS and LISTD Considerations” on page 6-3.

- Step 6** Run the DMS2HSM job with the desired option settings on the control statement.

**Tip:** The suggested settings are with CC16 and 17 set to Y. These options will perform the catalog search, create the exception report, the tape pull list and statistics and create the Restore jobs.



---

## Chapter 4      Configuration for DMS2HSM

DMS2HSM works in conjunction with three other MAINVIEW SRM products: EasyPOOL, EasyHSM, and SG-Auto. These products simplify the conversion effort by automating the critical processes involved during the conversion of data sets from DMS to HSM.

- MAINVIEW SRM EasyPOOL directs Restore requests to HSM primary volumes dedicated for the conversion process.
- MAINVIEW SRM EasyHSM controls the migration of the HSM primary volumes to HSM Migration Level 2.
- MAINVIEW SRM SG-Auto monitors the HSM primary volume and causes volume migration when a primary volume reaches a predetermined threshold.

Samples of the parameters necessary to configure DMS2HSM, EasyPOOL, EasyHSM, and SG-Auto follow.

## DMS2HSM Parameters

The DMS2HSM function must be defined and activated in the SMFUNC<sub>xx</sub> member. A sample entry is as follows:

In SMFUNC<sub>xx</sub>

---

```
SET      NAME=DMS2HSM      ACTIVE=YES  MSG=I  SMF=I
          FLST=xx
          DESC= 'DMS2HSM FUNCTION'
```

---

A sample entry in the DMS2HSM SMFLST<sub>xx</sub> member is as follows:

In SMFLST<sub>xx</sub>

---

```
SET MODE=ACT
      INC JOB=DMS2HSM/      Jobname mask for Conversion jobs
```

---

# EasyPOOL Parameters

The EasyPOOL functions are activated and controlled by entries in the MAINVIEW SRM parmlib. The volumes in the staging pool are defined in the SMPOOL<sub>xx</sub> member as shown in the following sample entry:

In SMPOOL<sub>xx</sub>

---

```
SET POOLNAME=DMSREST
      VOL=DMSHM/  Volume(s) or pattern used for conversion
```

---

EasyPOOL functions must be defined and activated in the SMFUNC<sub>xx</sub> member as shown in the following sample entry:

In SMFUNC<sub>xx</sub>

---

```
SET NAME=DASDPOOL  ACTIVE=YES  MSG=E  SMF=I
      FLST=xx  RLST=xx
      DESC= 'CONTROL DASD ALLOCATIONS '
```

---

The restored data sets are directed to the staging pool with entries in the DASDPOOL SMFLST<sub>xx</sub> and SMRLST<sub>xx</sub> members. Sample entries are as follows:

In SMFLST<sub>xx</sub>

---

```
SET MODE=ACT
      INC JOB=D2H/  Jobname mask for Restore jobs
```

---

In SMRLST<sub>xx</sub>

---

```
SET POOL=DMSREST
      INC JOB=D2H/  Jobname mask for Restore jobs
```

---

## EasyHSM Parameters

EasyHSM functions are activated and controlled by entries in the MAINVIEW SRM parmlib.

EasyHSM functions must be defined and activated in the SMFUNCxx member as shown in the following sample entry:

In SMFUNCxx

---

```
SET NAME=HSMmigRT    ACTIVE=YES    MSG=I    SMF=I
    FLST=xx    RLST=xx
    DESC=' IMPROVE HSM MIGRATION '
```

---

HSM migration of the staging volumes is controlled with an entry in the HSMmigRT SMFLSTxx and SMRLSTxx members. Sample entries are as follows:

In SMFLSTxx

---

```
SET MODE=ACT
    INC VOL=DMSHM/    Volume mask for restore volumes
```

---

In SMRLSTxx

---

```
SET MIGRATE=YES MIGDAYS=0 ML2=YES BACKUP=NO
    INC VOL=DMSHM/    Volume mask for restore volumes
```

---

# SG-Auto Parameters

Members in the SG-Auto parameter library control monitoring and migration of the volumes used for the DMS2HSM conversion.

Entries in the Monitor Matrix member monitor the volumes in the DMSREST pool every 15 minutes. Specifications in the automation matrix will dictate the actions to be taken if a threshold violation occurs.

**Figure 4-1 MONITOR Matrix Example (SMAMTMxx)**

M O N I T O R M A T R I X												
O B J E C T	C O N T R O L L E D	A U T O M A T I C A L	A L A R M C R I T E R I A					T I M E S P E C I F I C A T I O N S				
			FREE MB	%UTIL	R	A	VOLUME	VTOC	S	C	S	D
T	O	A	-----		G	-----	D	V	S	R	L	P
N	L	T	M	M	I	S	I	T	T	E		
A	I	O	I	A	N	C	R	A				
M	D	N	N	X	D	B	S	T				
E					E	S		U				
					X			S				SMTWTFS
DMSHM1	V01	SMAMTA00	40%						07:00	15	19:00	NMTWTFN
DMSHM2	V02	SMAMTA00	40%						07:00	15	19:00	SMTWTFN
DMSHM3	V03	SMAMTA00	40%						07:00	15	10:00	NMTWTFN

The Automation Matrix will submit a command every monitor cycle for each volume where the threshold is exceeded.

Figure 4-2 Automation Matrix (SMSMTAxx)

A U T O M A T I O N    M A T R I X															
P R O C E D U R E	C O N T R O L	ACTION TRIGGERS							TIME WINDOW		EXECUTION CONTROL				
		-----			F	-----			-----		-----				
		FREE MB			R	VOLUME			B	E	W	M	A	P	D
		%UTIL			A	VTOC			E	N	A	A	F	R	A
		-----			G	-----			G	D	I	X	T	O	Y
					D	V	S	I		T		E	M	S	
					S	I	T	N				E	R	P	
					C	R	A				T	X		T	
					D	B	S	T				I	E		
					E	E	S	U				M	C		
					L	X		S				E			SMTWTFSS
<hr/>															
DMSCMD1	S01	40%		V											



---

# Chapter 5 DMS2HSM Sample Reports

The following report sample shows the exception messages that DMS2HSM writes for data sets that cannot be processed (converted) because they are not cataloged to the DMS pseudo-volser ARCIVE.

**Figure 5-1 Sample Errors Listing**

---

```
SMCV9200   D M S / O S T O D F H S M C O N V E R S I O N   P R O J E C T   N O V 01, 2000
           DATA SETS TO BE RECALLED AND MIGRATED                                     PAGE    1
           ERRORS LISTING
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: *UNCAT   ARCHIVED VOL: BAB030
DSN:AAO.BPO0603.LIST
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: *UNCAT   ARCHIVED VOL: BAB321
DSN:AAO.BPO1548.LIST
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB307
DSN:AAO22.AOBASE.BBUSER
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB307
DSN:AAO22.NV24.BNJPNL2
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB318
DSN:AAO22.NV24.CNMLINK
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB308
DSN:AAO22.TLS1.LOAD
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: BAB313   ARCHIVED VOL: BAB315
DSN:AAO31.RPR1.DATA
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB317
DSN:ABK1.ENG.S
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: BAB318   ARCHIVED VOL: BAB319
DSN:APTS.OLT.SYSMODS
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: BAB322   ARCHIVED VOL: BAB309
DSN:APTS.PROD.DATA
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: BAB321   ARCHIVED VOL: BAB309
DSN:APTS.PROD.TEXT
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: *UNCAT   ARCHIVED VOL: PRV006
DSN:ARD1.SPS.CIAKEYS
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB321
DSN:W2DPROD.DSNDBC.DSNDB06.DSNATX01.I0001.A001
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: MIGRAT   ARCHIVED VOL: BAB321
DSN:W2DPROD.DSNDBC.DSNDB06.DSNKAX03.I0001.A001
DSN EXCLUDED DUE TO VOLSER - CATALOGUED TO: *UNCAT   ARCHIVED VOL: TERA81
DSN:ZTERA35.THSOURCE.CRDMAP2
DSN EXCLUDED - IT IS AN AIX THAT WAS ARCHIVED SEPARATELY FROM THE BASE CLUSTER
DSN:BMCVRU.HLK5.KSDS.AIX1
```

---

---

The following report shows the tape pull list, tape count, and data set statistics.

**Figure 5-2      Sample Tape Pull List and Statistics Summary**

---

SMCV9200	D M S / O S T O D F H S M C O N V E R S I O N P R O J E C T			NOV 01, 2000
	DATA SETS TO BE RECALLED AND MIGRATED			PAGE 51
	TAPE STATISTICS / TAPE PULL LIST			
VOLSER	DATA SETS TO RECALL	TAPE BLKS TO RECALL	KBYTES	
511425	7	455	57535	
511426	108	886	24436	
511427	29	142	3362	
511432	62	2171	73534	
511433	18	759	17520	
511434	11	720	23099	
511436	77	499	14674	
511437	11	199	6318	
511438	32	443	10308	
511439	103	3004	68855	
*TOTAL	458	9278	299641	
*TOTAL TAPES TO PROCESS:		10		

---

---

# Chapter 6    Important Considerations

This chapter contains very important information pertaining to

- DMS2HSM conversion job
- DMS and the LISTD utility
- HSM

## DMS2HSM Considerations

- The conversion process can be controlled in a number of ways that are described in this section. Whichever method is used, the execution of the Restore jobs can be controlled by assigning a special job class and limiting the number of initiators set to that class.
  - A complete conversion can be performed in one sweep:
    - Run the LISTD utility with no parameter on the LISTD command. This will list the latest version of every data set in the FILES data set.
    - Run the DMS2HSM program with the **TAPE NUMBER** fields blank in the CNTL DD. This will create DMS Restore jobs for all the eligible data sets and tapes in the LISTD input file.
  - The conversion can be performed in phases:
    - Run the LISTD utility with no parameter on the LISTD command.
    - Run the DMS2HSM program specifying a tape range in the CNTL DD. This will create DMS Restore jobs for the eligible data sets on those tapes. Run those Restore jobs.

- After the Restore jobs are completed, run the DMS2HSM program with another tape range. Run those Restore jobs. Repeat this process as needed.
- The conversion can be performed by applications:
  - Run the LISTD utility with the DSN= parameter and the desired patterns.
  - Run the DMS2HSM program and Restore jobs.
  - Run the LISTD utility with another set of DSN patterns. Run the DMS2HSM program and the Restore jobs. Repeat as needed.
- The conversion program will not process backup copies of data sets. Backup copies are not listed in the exception report but they are listed in the Detail Report.
- The Catalog Search option should be Y so that the OS/390 catalog is checked. The result is:
  - A data set that is cataloged to the DMS pseudo-volser ARCIVE will be processed.
  - A data set that is cataloged to the HSM pseudo-volser MIGRAT will be bypassed.
  - A data set that is cataloged to any DASD or tape volume will be bypassed.
  - A data set that is uncataloged will be bypassed.
  - Data sets that are bypassed because of catalog status will be listed in the exception report.
- Warning!** Catalog Search option N (no catalog search) is *strongly discouraged!* There would be unpredictable, very serious ramifications if an attempt was made to convert to HSM data sets that are
  - uncataloged
  - cataloged to the HSM pseudo-volser MIGRAT
  - cataloged to any real DASD or tape volume
- If a data set to be converted spans more than one tape, only the first tape will be listed in the Tape Pull report.

- An option in DMS allows the archive of VSAM Alternate Indexes (AIXs) separately from the base cluster. (DMS sysparm VSARCAIX controls this option. However, a DMS logic error prior to release 8.2 allowed this process to occur implicitly.) Because of the significant risk that AIXs archived this way would not be in-sync with the base cluster, the conversion program bypasses those separately-processed AIXs. The AIXs that are bypassed are listed in the Error Listing report.
- The conversion program retrieves data from the LISTD report. Because the format of the LISTD report could change, it is recommended that a test run of the conversion program be performed with a small amount of input data to ensure all data fields are being retrieved correctly. Report any conversion program discrepancies to BMC Software Customer Support.

## DMS and LISTD Considerations

- The LISTD utility is run to identify the data sets in the DMS FILES data set that are to be processed by the conversion program. The default process of the LISTD command is to list only the latest copy of a data set that matches the LISTD control statement. This is the desired result for the DMS2HSM conversion process.
- Do not specify the LISTD command parameter DUPLICATES. This would cause all copies of data sets in the FILES data set (or the data sets matching the pattern specified on the DSN= parameter) to be listed. The result would be that all copies of a data set *that were archived* would be processed.
- There would be unpredictable very serious ramifications if an attempt was made to convert to HSM any of the following:
  - Multiple copies of a data set
  - A copy of a data set other than the latest copy
- The LISTD command does not provide any *exclusion* options. Care should be taken if conversions are performed at the application level to make sure that all needed DSN patterns are accounted for.
- The user submitting the DMS Restore jobs must have sufficient security access to perform this process. RACF OPER level authority (or equivalent) is suggested.
- The following DMS SYSPARMS and values should be considered when running the DMS Restore jobs:

**Table 6-1 DMS Sysparms for Restores**

Sysparm	Value	Description
IOTRACKS	15	If a value less than 15 is specified, performance will be impaired. If this sysparm is not specified, the optimum value is used by default.
PRIALLOC	N	N consolidates multiple extent non-VSAM data sets. (Default)
RESIXRPD	<i>nn</i>	Specify the number of days after the restore that the DSNINDEX record can be deleted by the DMS IXMAINT utility.
SMSUPDAT	Y	Y updates of the DSNINDEX record with the date/time of the restore. (Default)
VSAIXDEF	Y	Y defines alternate indexes after the restore of the base cluster. (Default)
VSAMSUPP	Y	Y processes VSAM files.
VSBLDINX	Y	Y rebuilds alternate indexes after the restore of the base cluster. (Default)
VSSPUSED	Y	Y consolidates multiple extents of VSAM files from a single volume. Original allocation values are used for multi-volume VSAM. (Default)

## HSM Considerations

- Each volume used by DMS2HSM defined in the EasyPOOL pool must also be defined as an HSM primary volume using the ADDVOL command.
- Any 'SYS1' data set restored in the conversion process will not be migrated to ML2 due to an HSM restriction. Any data set that has the high-level qualifier of SYS1 will be reported in an exception list and any restore/migration will have to be attempted by the customer.
- After the DMS2HSM conversion, the migrated data sets will be retained as follows:
  - SMS-managed data sets will be retained according to the values specified in the SMS management class, starting from the date the data set is migrated by HSM.
  - Non-SMS data sets will be retained for the length of time indicated in the HSM parmlib, starting from the date the data set is migrated by HSM.

---

# Appendix A      Conversion Approximations

The following chart provides some idea of the numbers, sizes, and timings involved with a conversion of data sets from DMS to HSM.

Of course, every DMS installation is different, and your numbers could vary significantly. The numbers shown are from one installation, just to give you a perspective of the project.

Description	Approximations
Total DSNINDEX records in FILES	5,827,000
Total ARCHVOLS records in FILES	29,200
Size of LISTD report output file	1,040 Cyls
Data sets to be converted	1,546,000
Tapes to be read	12,700
Amount of data to convert	6.6 TB
Size of RESTORE data set	300 Cyls
Size of SORTIN and SORTOUT (each)	200 Cyls
Time to run Conversion program	4 to 6 hours





---

# Index

## A

- ADDVOL
  - command 6-4
- AIX 6-3
- ALTER 3-3
- amount of data to convert A-1
- ARCIVE 6-2
- automation command
  - sample entry 4-6
- Automation Matrix
  - SMSMTAxx 4-6

## C

- CA-Disk 1-2
- Catalog Search option 6-2
- CNTL 3-3
- Computer Associates 1-2
- control statements 3-3
- conversion
  - approximations A-1
  - settings 3-5
- conversion job 3-5
- conversion program
  - approximate time A-1

## D

- D2HJCL01
  - sample JCL 3-1
- data set restores 2-1

- data sets to be converted A-1
- DD statements 3-3
  - ALTER 3-3
  - CNTL 3-3
  - RESTORE 3-3
  - STEPLIB 3-3
  - SYSOUT 3-3
  - SYSPRINT 3-3
  - SYSUT1 3-3
- demo 1-2
- detail report 6-2
- DFSMSHsm 1-2
- DMS
  - LISTD 2-1
- DMS and the LISTD utility
  - considerations 6-1
- DMS Merge
  - processing 2-2
- DMS Restore job 1-1, 2-1, 3-4, 3-5
- DMS Restore jobs 6-1, 6-3
- DMS SYSPARMS 6-3
- DMS/OS 1-2
- DMS2HSM
  - configuration 4-1
  - considerations 6-1
  - control statements 3-3
  - conversion job considerations 6-1
  - DD statements 3-3
  - demonstration 1-2
  - overview 1-1
  - parameters 4-2
  - password in SMMSYSxx 3-5
  - process flow 1-2
  - running the conversion job 3-5

---

- sample reports 5-1
- SMFLSTxx 3-5
- SMFUNCxx 3-5

## DSN

- parameter 6-3

DSN patterns 6-2

## DUPLICATES

- parameter 6-3

## E

EasyHSM 1-1, 2-2, 4-1

- parameters 4-4

EasyPOOL 1-1, 4-1, 6-4

- parameters 4-3

errors listing 5-1

exception report 1-1, 6-2

## F

## FILES

- data set 2-1, 6-1, 6-3

## H

HSM 1-1

- considerations 6-1

HSM migration

- control of 2-2

## I

IOTRACKS 6-4

## L

LISTD 2-1, 3-5

- command 6-1, 6-3

- considerations 6-1

- control statement 6-3

- report 6-3

- utility 6-1, 6-3

- utility with DSN= parm 6-2

## M

MAINVIEW SRM 3-5, 4-1

MIGRAT 6-2

## MIGRATE

- command 2-2

model JCL statements 3-3, 3-4

Monitor Matrix

- sample entry 4-5

## N

Non-SMS

- data sets 6-4

## P

PRIALLOC 6-4

product demo 1-2

pseudo-volser 6-2

## R

RACF OPER level authority 6-3

RESIXRPD 6-4

RESTORE 3-3

restore

- pool volumes

- control 2-2

- process 2-2

Restore jobs 6-2

- controlling 6-1

restored data sets

- control placement of restored data sets 2-2

RJ statements 3-4

## S

sample JCL

- D1HJCL01 3-1

sample reports 5-1

SAMS Disk 1-2

SG-Auto 1-1, 2-2, 4-1

- parameters 4-5

---

size of LISTD report output file A-1

size of RESTORE data set A-1

SMAMTMxx

Monitor Matrix 4-5

SMFLSTxx

DMS2HSM 3-5

sample entry 4-2, 4-3, 4-4

SMFUNCxx

DMS2HSM definition 3-5

sample entry 4-2

SMMSYSxx

password 3-5

SMPOOLxx

sample entry 4-3

SMRLSTxx

sample entry 4-3, 4-4

SMSMTAxx

Automation Matrix 4-6

SMSUPDAT 6-4

STEPLIB 3-3

Sterling Software 1-2

SYS1

data sets 6-4

SYSOUT 3-3

SYSPRINT 3-3, 3-5

SYSUT1 3-3

## T

tape library

clean up 2-2

tape pull list 1-1

sample 5-2

tape range statement 3-3

tapes to be read

number A-1

Total ARCHVOLS records in FILES A-1

Total DSNINDEX records in FILES A-1

## V

VSAIXDEF 6-4

VSAM Alternate Indexes (AIX) 6-3

VSAMSUPP 6-4

VSBLDINX 6-4

VSSPUSED 6-4



# END USER LICENSE AGREEMENT NOTICE

BY OPENING THE PACKAGE, INSTALLING, PRESSING "AGREE" OR "YES" OR USING THE PRODUCT, THE ENTITY OR INDIVIDUAL ENTERING INTO THIS AGREEMENT AGREES TO BE BOUND BY THE FOLLOWING TERMS. IF YOU DO NOT AGREE WITH ANY OF THESE TERMS, DO NOT INSTALL OR USE THE PRODUCT, PROMPTLY RETURN THE PRODUCT TO BMC OR YOUR BMC RESELLER, AND IF YOU ACQUIRED THE LICENSE WITHIN 30 DAYS OF THE DATE OF YOUR ORDER CONTACT BMC OR YOUR BMC RESELLER FOR A REFUND OF LICENSE FEES PAID. IF YOU REJECT THIS AGREEMENT, YOU WILL NOT ACQUIRE ANY LICENSE TO USE THE PRODUCT.

This Agreement ("**Agreement**") is between the entity or individual entering into this Agreement ("You") and BMC Software Distribution, Inc., a Delaware corporation located at 2101 CityWest Blvd., Houston, Texas, 77042, USA or its affiliated local licensing entity ("BMC"). "You" includes you and your Affiliates. "Affiliate" is defined as an entity which controls, is controlled by or shares common control with a party. THIS AGREEMENT WILL APPLY TO THE PRODUCT, UNLESS (1) YOU AGREED TO A WEB BASED LICENSE AGREEMENT WITH BMC WHEN ORDERING THE PRODUCT, IN WHICH CASE THAT WEB BASED LICENSE AGREEMENT GOVERNS THE USE OF THE PRODUCT, OR (2) IF YOU DID NOT AGREE TO A WEB BASED LICENSE AGREEMENT WITH BMC WHEN ORDERING THE PRODUCT AND YOU HAVE A WRITTEN LICENSE AGREEMENT WITH BMC, THEN THAT WRITTEN AGREEMENT GOVERNS THE USE OF THE PRODUCT. THE ELECTRONIC AGREEMENT PROVIDED WITH THE PRODUCT AS PART OF THE INSTALLATION OF THE PRODUCT WILL NOT APPLY. In addition to the restrictions imposed under this Agreement, any other usage restrictions contained in the Product installation instructions or release notes shall apply to Your use of the Product.

**PRODUCT AND CAPACITY.** "**Software**" means the object code version of the computer programs provided, via delivery or electronic transmission, to You. Software includes computer files, enhancements, maintenance modifications, upgrades, updates, bug fixes, and error corrections.

"**Documentation**" means all written or graphical material provided by BMC in any medium, including any technical specifications, relating to the functionality or operation of the Software.

"**Product**" means the Software and Documentation.

"**License Capacity**" means the licensed capacity for the Software with the pricing and other license defining terms, including capacity restrictions, such as tier limit, total allowed users, gigabyte limit, quantity of Software, and/or other capacity limitations regarding the Software. For licenses based on the power of a computer, You agree to use BMC's current computer classification scheme, which is available at <http://www.bmc.com> or can be provided to You upon request.

**ACCEPTANCE.** The Product is deemed accepted by You, on the date that You received the Product from BMC.

**LICENSE.** Subject to the terms of this Agreement, as well as Your payment of applicable fees, BMC grants You a non-exclusive, non-transferable, perpetual (unless a term license is provided on an order) license for each copy of the Software, up to the License Capacity, to do the following:

- (a) install the Software on Your owned or leased hardware located at a facility owned or controlled by You in the country where You acquired the license;
- (b) operate the Software solely for processing Your own data in Your business operations; and
- (c) make one copy of the Software for backup and archival purposes only (collectively a "**License**").

If the Software is designed by BMC to permit you to modify such Software, then you agree to only use such modifications or new software programs for Your internal purposes or otherwise consistent with the License. BMC grants You a license to use the Documentation solely for Your internal use in Your operations.

**LICENSE UPGRADES.** You may expand the scope of the License Capacity only pursuant to a separate agreement with BMC for such expanded usage and Your payment of applicable fees. There is no additional warranty period or free support period for license upgrades.

**RESTRICTIONS:** You agree to **NOT**:

- (a) disassemble, reverse engineer, decompile or otherwise attempt to derive any Software from executable code;
- (b) distribute or provide the Software to any third party (including without limitation, use in a service bureau, outsourcing environment, or processing the data of third parties, or for rental, lease, or sublicense); or
- (c) provide a third party with the results of any functional evaluation or benchmarking or performance tests, without BMC's prior written approval, unless prohibited by local law.

**TRIAL LICENSE.** If, as part of the ordering process, the Product is provided on a trial basis, then these terms apply: (i) this license consists solely of a non-exclusive, non-transferable evaluation license to operate the Software for the period of time specified from BMC or, if not specified, a 30 day time period ("**Trial Period**") only for evaluating whether You desire to acquire a capacity-based license to the Product for a fee; and (ii) Your use of the Product is on an AS IS basis without any warranty, and **BMC, ITS AFFILIATES AND RESELLERS, AND LICENSORS DISCLAIM ANY AND ALL WARRANTIES (INCLUDING, WITHOUT LIMITATION, THE IMPLIED WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE AND NON-INFRINGEMENT) AND HAVE NO LIABILITY WHATSOEVER RESULTING FROM THE USE OF THIS PRODUCT UNDER THIS TRIAL LICENSE ("Trial License").** BMC may terminate for its convenience a Trial License upon notice to You. When the Trial Period ends, Your right to use this Product automatically expires. If You want to continue Your use of the Product beyond the Trial Period, contact BMC to acquire a capacity-based license to the Product for a fee.

**TERMINATION.** This Agreement shall immediately terminate if You breach any of its terms. Upon termination, for any reason, You must uninstall the Software, and either certify the destruction of the Product or return it to BMC.

**OWNERSHIP OF THE PRODUCT.** BMC or its Affiliates or licensors retain all right, title and interest to and in the BMC Product and all intellectual property, informational, industrial property and proprietary rights therein. BMC neither grants nor otherwise transfers any rights of ownership in the BMC Product to You. BMC Products are protected by applicable copyright, trade secret, and industrial and intellectual property laws. BMC reserves any rights not expressly granted to You herein.

**CONFIDENTIAL AND PROPRIETARY INFORMATION.** The BMC Products are and contain valuable confidential information of BMC ("Confidential Information"). Confidential Information means non-public technical and non-technical information relating to the BMC Products and Support, including, without limitation, trade secret and proprietary information, and the structure and organization of the Software. You may not disclose the Confidential Information to third parties. You agree to use all reasonable efforts to prevent the unauthorized use, copying, publication or dissemination of the Product.

**WARRANTY.** Except for a Trial License, BMC warrants that the Software will perform in substantial accordance with the Documentation for a period of one year from the date of the order. This warranty shall not apply to any problems caused by software or hardware not supplied by BMC or to any misuse of the Software.

**EXCLUSIVE REMEDY.** BMC's entire liability, and Your exclusive remedy, for any defect in the Software during the warranty period or breach of the warranty above shall be limited to the following: BMC shall use reasonable efforts to remedy defects covered by the warranty or replace the defective Software within a reasonable period of time, or if BMC cannot remedy or replace such defective copy of the Software, then BMC shall refund the amount paid by You for the License for that Software. BMC's obligations in this section are conditioned upon Your providing BMC prompt access to the affected Software and full cooperation in resolving the claim.

**DISCLAIMER. EXCEPT FOR THE EXPRESS WARRANTIES ABOVE, THE PRODUCT IS PROVIDED "AS IS." BMC, ITS AFFILIATES AND LICENSORS SPECIFICALLY DISCLAIM ALL OTHER WARRANTIES, INCLUDING, WITHOUT LIMITATION, THE IMPLIED WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, AND NON-INFRINGEMENT. BMC DOES NOT WARRANT THAT THE OPERATION OF THE SOFTWARE WILL BE UNINTERRUPTED OR ERROR FREE, OR THAT ALL DEFECTS CAN BE CORRECTED.**

**DISCLAIMER OF DAMAGES. IN NO EVENT IS BMC, ITS AFFILIATES OR LICENSORS LIABLE FOR ANY SPECIAL, INDIRECT, INCIDENTAL, PUNITIVE OR CONSEQUENTIAL DAMAGES RELATING TO OR ARISING OUT OF THIS AGREEMENT, SUPPORT, AND/OR THE PRODUCT (INCLUDING, WITHOUT LIMITATION, LOST PROFITS, LOST COMPUTER USAGE TIME, AND DAMAGE OR LOSS OF USE OF DATA), EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGES, AND IRRESPECTIVE OF ANY NEGLIGENCE OF BMC OR WHETHER SUCH DAMAGES RESULT FROM A CLAIM ARISING UNDER TORT OR CONTRACT LAW.**

**LIMITS ON LIABILITY. BMC'S AGGREGATE LIABILITY FOR DAMAGES IS LIMITED TO THE AMOUNT PAID BY YOU FOR THE LICENSE TO THE PRODUCT.**

**SUPPORT.** If Your order includes support for the Software, then BMC agrees to provide support (24 hours a day/7 days a week) ("Support"). You will be automatically re-enrolled in Support on an annual basis unless BMC receives notice of termination from You as provided below. There is a free support period during the one year warranty period.

(a) **Support Terms.** BMC agrees to make commercially reasonable efforts to provide the following Support: (i) For malfunctions of supported versions of the Software, BMC provides bug fixes, patches or workarounds in order to cause that copy of the Software to operate in substantial conformity with its then-current operating specifications; and (ii) BMC provides new releases or versions, so long as such new releases or versions are furnished by BMC to all other enrolled Support customers without additional charge. BMC may refuse to provide Support for any versions or releases of the Software other than the most recent version or release of such Software made available by BMC. Either party may terminate Your enrollment in Support upon providing notice to the other at least 30 days prior to the next applicable Support anniversary date. If You re-enroll in Support, BMC may charge You a reinstatement fee of 1.5 times what You would have paid if You were enrolled in Support during that time period.

(b) **Fees.** The annual fee for Support is 20% of the Software's list price less the applicable discount or a flat capacity based annual fee. BMC may change its prices for the Software and/or Support upon at least 30 days notice prior to Your support anniversary date.

**VERIFICATION.** If requested by BMC, You agree to deliver to BMC periodic written reports, whether generated manually or electronically, detailing Your use of the Software in accordance with this Agreement, including, without limitation, the License Capacity. BMC may, at its expense, audit Your use of the Software to confirm Your compliance with the Agreement. If an audit reveals that You have underpaid fees, You agree to pay such underpaid fees. If the underpaid fees exceed 5% of the fees paid, then You agree to also pay BMC's reasonable costs of conducting the audit.

**EXPORT CONTROLS.** You agree not to import, export, re-export, or transfer, directly or indirectly, any part of the Product or any underlying information or technology except in full compliance with all United States, foreign and other applicable laws and regulations.

**GOVERNING LAW.** This Agreement is governed by the substantive laws in force, without regard to conflict of laws principles: (a) in the State of New York, if you acquired the License in the United States, Puerto Rico, or any country in Central or South America; (b) in the Province of Ontario, if you acquired the License in Canada (subsections (a) and (b) collectively referred to as the "**Americas Region**"); (c) in Singapore, if you acquired the License in Japan, South Korea, Peoples Republic of China, Special Administrative Region of Hong Kong, Republic of China, Philippines, Indonesia, Malaysia, Singapore, India, Australia, New Zealand, or Thailand (collectively, "**Asia Pacific Region**"); or (d) in the Netherlands, if you acquired the License in any other country not described above. The United Nations Convention on Contracts for the International Sale of Goods is specifically disclaimed in its entirety.

**ARBITRATION. ANY DISPUTE BETWEEN YOU AND BMC ARISING OUT OF THIS AGREEMENT OR THE BREACH OR ALLEGED BREACH, SHALL BE DETERMINED BY BINDING ARBITRATION CONDUCTED IN ENGLISH. IF THE DISPUTE IS INITIATED IN THE AMERICAS REGION, THE ARBITRATION SHALL BE HELD IN NEW YORK, U.S.A., UNDER THE CURRENT COMMERCIAL OR INTERNATIONAL, AS APPLICABLE, RULES OF THE AMERICAN ARBITRATION ASSOCIATION. IF THE DISPUTE IS INITIATED IN A COUNTRY IN THE ASIA PACIFIC REGION, THE ARBITRATION SHALL BE HELD IN SINGAPORE, SINGAPORE UNDER THE CURRENT UNCITRAL ARBITRATION RULES. IF THE DISPUTE IS INITIATED IN A COUNTRY OUTSIDE OF THE AMERICAS REGION OR ASIA PACIFIC REGION, THE ARBITRATION SHALL BE HELD IN AMSTERDAM, NETHERLANDS UNDER THE CURRENT UNCITRAL ARBITRATION RULES. THE COSTS OF THE ARBITRATION SHALL BE BORNE EQUALLY PENDING THE ARBITRATOR'S AWARD. THE AWARD RENDERED SHALL BE FINAL AND BINDING UPON THE PARTIES AND SHALL NOT BE SUBJECT TO APPEAL TO ANY COURT, AND MAY BE ENFORCED IN ANY COURT OF COMPETENT JURISDICTION. NOTHING IN THIS AGREEMENT SHALL BE DEEMED AS PREVENTING EITHER PARTY FROM SEEKING INJUNCTIVE RELIEF FROM ANY COURT HAVING JURISDICTION OVER THE PARTIES AND THE SUBJECT MATTER OF THE DISPUTE AS NECESSARY TO PROTECT EITHER PARTY'S CONFIDENTIAL INFORMATION,**

**OWNERSHIP, OR ANY OTHER PROPRIETARY RIGHTS. ALL ARBITRATION PROCEEDINGS SHALL BE CONDUCTED IN CONFIDENCE, AND THE PARTY PREVAILING IN ARBITRATION SHALL BE ENTITLED TO RECOVER ITS REASONABLE ATTORNEYS' FEES AND NECESSARY COSTS INCURRED RELATED THERETO FROM THE OTHER PARTY.**

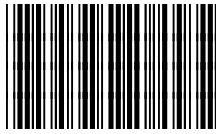
**U.S. GOVERNMENT RESTRICTED RIGHTS.** The Software under this Agreement is "commercial computer software" as that term is described in 48 C.F.R. 252.227-7014(a)(1). If acquired by or on behalf of a civilian agency, the U.S. Government acquires this commercial computer software and/or commercial computer software documentation subject to the terms of this Agreement as specified in 48 C.F.R. 12.212 (Computer Software) and 12.211 (Technical Data) of the Federal Acquisition Regulations ("**FAR**") and its successors. If acquired by or on behalf of any agency within the Department of Defense ("**DOD**"), the U.S. Government acquires this commercial computer software and/or commercial computer software documentation subject to the terms of this Agreement as specified in 48 C.F.R. 227.7202 of the DOD FAR Supplement and its successors.

**MISCELLANEOUS TERMS.** You agree to pay BMC all amounts owed no later than 30 days from the date of the applicable invoice, unless otherwise provided on the order for the License to the Products. You will pay, or reimburse BMC, for taxes of any kind, including sales, use, duty, tariffs, customs, withholding, property, value-added (VAT), and other similar federal, state or local taxes (other than taxes based on BMC's net income) imposed in connection with the Product and/or the Support. This Agreement constitutes the entire agreement between You and BMC and supersedes any prior or contemporaneous negotiations or agreements, whether oral, written or displayed electronically, concerning the Product and related subject matter. No modification or waiver of any provision hereof will be effective unless made in a writing signed by both BMC and You. You may not assign or transfer this Agreement or a License to a third party without BMC's prior written consent. Should any provision of this Agreement be invalid or unenforceable, the remainder of the provisions will remain in effect. The parties have agreed that this Agreement and the documents related thereto be drawn up in the English language. Les parties exigent que la présente convention ainsi que les documents qui s'y rattachent soient rédigés en anglais.

SW EULA Int 030102

## Notes





\*17039\*

